



**SELF DIRECTED &
SELF ADMINISTERED
RETIREMENT PLANS**

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Green & Company CPAs, LLC

<http://www.greencompany.com/Traders/TraderRetirement.shtml>

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888-558-5257 or 646-224-6923

Value Proposition



Provide a self directed, self administered retirement plan for GreenTrader clients that is integrated with GreenTraderTax strategies and allows them to take advantage of retirement plan benefits.

- Education on benefits and features of retirement plans
- Consultation to identify needs and solutions
- Provide plan options based on unique needs
- Outline responsibilities and requirements
- Prepare required documentation
- Ongoing consultation and support

Retirement Plan Service Offering



Step 1: Consultation

Understand client needs---business entity and objectives.
Educate on plan benefits, features, options, and process.

Step 2: Recommendation

Match client objectives with plan solution—based on our plan research and unique customer needs.

Step 3: Adoption

Outline the steps required to adopt the plan and responsibilities and roles in plan administration.

Step 4: Ongoing Support

Consultations to review plan status, determine new contribution funding, answer questions, filing requirements, and other administration.

Plan Analysis



A variety of vendors offer retirements plans including investment companies, pension consultants, trust companies, and plan service providers. We have contacted many of these vendors (20+) to analyze their offerings and services. Key plan requirements that we researched are the following:

Determination Letter

Current Department of Labor favorable determination or opinion letter.

Plan Types

Defined Contribution, Defined Benefit, Mini (Solo) 401K

Features

Loan provision, self directed investment options, Roth salary deferral contributions

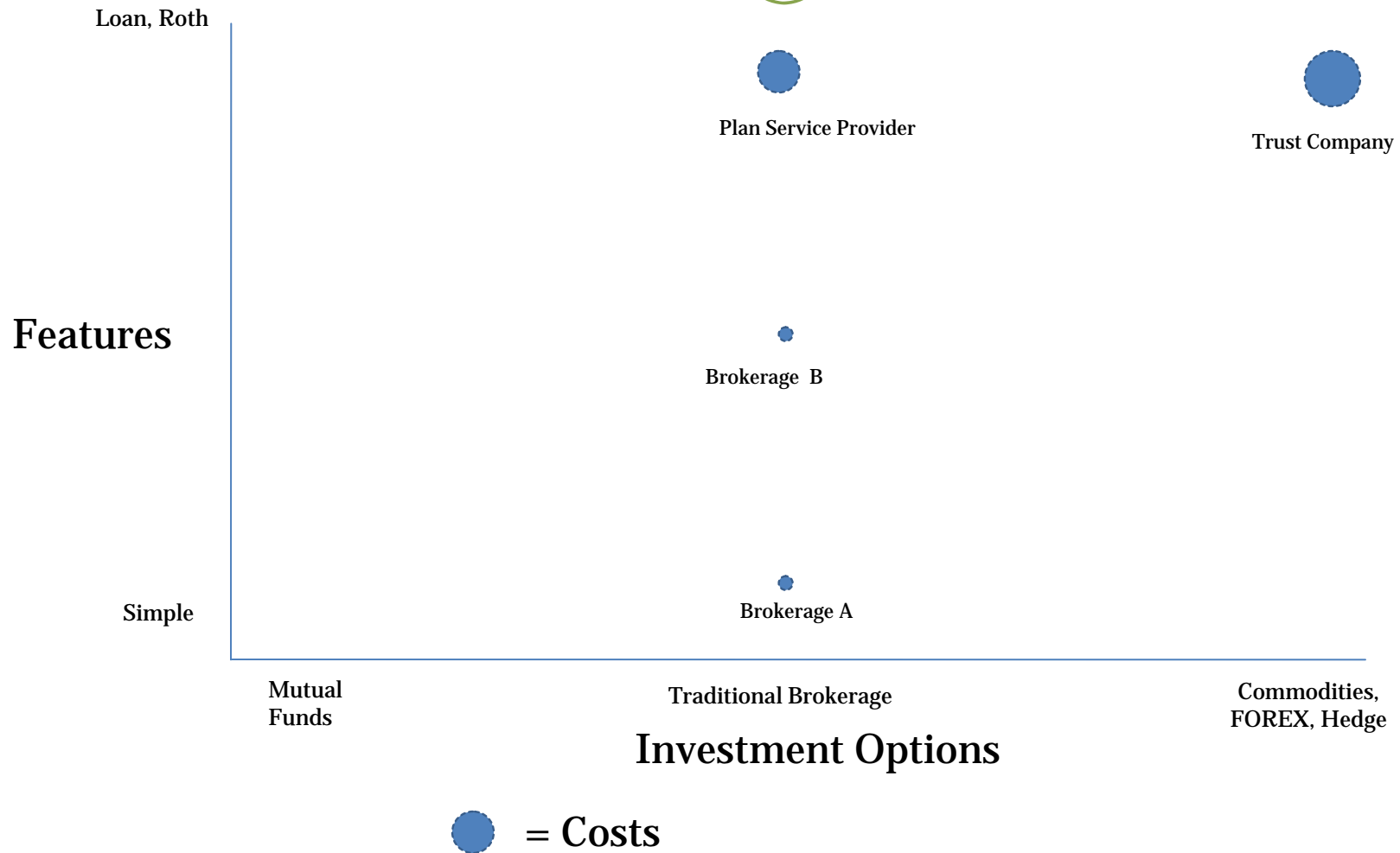
Investments

Futures, Forex, traditional securities, and hedge funds

Cost and Services

Costs for plan adoption. Management, administration, and record keeping support.

Plan Offerings



Summary – Plan Offerings



Vendor	Features	Third-Party Plan Costs	Investing/ Trading Options	Other	Client Needs
Trust Company (The works! Futures, forex, direct-access and plan loans)	Salary deferrals, Roth, Loans Self-Administered	\$50 set-up \$250 annual \$150 futures ann.	Full array of self directed options (appendix has list of futures and FOREX partners)	Web Access	Full self directed investment options with full features
Plan Provider (direct-access trading and loans)	Salary deferrals, Roth, Loans Self-Administered	\$125 set-up \$150 annual \$100 loan ann.	Traditional Brokerage	Retirement Planning support, web access	Roth and Loan with traditional investments
Brokerage B (loans only, good for paying down your mortgage)	No Roth Self-Administered	\$0	Traditional Brokerage	Has loans, web access	Low cost with loan provision
Brokerage A (cookie-cutter, self-directed)	No Loan, No Roth. Self-administered	\$0	Traditional Brokerage	Retirement Planning support , and web access	Low cost, great support, traditional brokerage

Appendix



- **Trust Company Futures trading partners**
- **Trust Company FOREX trading partners**

Trust Company Futures Trading Partners



FUTURES COMMISSION MERCHANT	ADDRESS	CITY	STATE	ZIP	PHONE
ADM	141 W. Jackson Blvd Suite 1610A	Chicago	IL	60604	312-435-7000
ADM DERIVATIVES, INC.	141 W. Jackson Blvd Suite 1610A	Chicago	IL	60604	312-242-7151
ALARON	822 W. Washington	Chicago	IL	60607	312-563-8000
ADVANCED MARKETS (AKA AMERICAN MUTUAL HOLDIN	7369 Sheridan Street, Suite 204	Hollywood	FL	33024	954-965-2993
AMERICAN NATIONAL TRADING	12300 Wilshire Blvd., 2nd Floor	Los Angeles	CA	90025	310-552-2900
CADENT FINANCIAL SERVICES	150 S. Wacker Drive, Suite 1300	Chicago	IL	60606	312-384-1100
CAPITAL MARKET SERVICES, LLC	350 5TH AVENUE, SUITE 6400	New York	NY	10118	212-563-2100
COMMERZ FUTURES	209 W Jackson Suite 600	Chicago	IL	60606	312-360-1175
COUNTRY HEDGING	P.O. BOX 64089, 5500 Cenex Drive	Inver Grove Hi	MN	55077	651-355-6598
CROSSLAND, LLC	141 West Jackson Blvd., Suite 2800	Chicago	IL	60604	312-786-9912
DORMAN TRADING, LLC	141 West Jackson Blvd., Suite 3850	Chicago	IL	60604	312-341-7897
EFUTURES	One Insight Dr.	Platteville	WI	53818	800-437-7751
FC STONE	141 West Jackson – Suite 2730	Chicago	IL	60604	312-780-6720
FX DIRECT	75 Park Place - 4th Floor	New York	NY	10007	212-791-3939
FX SOLUTIONS	127 E. Ridgewood Avenue, Suite 201	Ridgewood	NJ	7450	201-345-2210
FARR FINANCIAL	1073 South Winchester Blvd	San Jose	CA	95128	408-246-9000
ROYAL FOREX TRADING, LLC	601 South Federal Hwy, Suite 202	Boca Raton	FL	33432	561-347-2185
FIMAT	630 5th Avenue, Suite 500	New York	NY	10111	646-557-9240
FXCM (FOREX CAPITAL MARKETS)	32 Old Slip 10th Floor	New York	NY	10005	212-897-7660
GAIN CAPITAL	550 Hills Drive	Bedminister	NJ	7921	877-424-6227
GLOBAL FOREX TRADING	4760 East Fulton, Suite 201	Ada	MI	49301	616-956-9273
GLOBAL FOREX & FUTURES, INC.	One Post, Suite 2550	San Francisco	CA	94104	415-321-7188
PENSON GHCO (Formerly GOLDENBERG-HEHMEYER)	CBOT-141 W. Jackson Blvd. 17th Floor Atrium	Chicago	IL	60604	312-922-6765
HAGETY GRAIN	141 W Jackson Blvd	Chicago	IL	60604	800-446-3148
IFSCS USA INC	99 Wall Street, 25 th Floor	New York	NY	10005	212-361-8338
INTERBANK FX, LLC	6322 S. 3000 East Suite 240	Salt Lake City	UT	84121	801-733-6910
IOWA GRAIN	141 W. Jackson Blvd	Chicago	IL	60604	312-786-9760
LAWRENCE-BONFITTO TRADING CO.	141 West Jackson Blvd., Suite 2450	Chicago	IL	60604	312-341-7770
MBF CLEARING CORP	One North End Avenue, Suite 1201	New York	NY	10282	212-845-5000
MF GLOBAL	440 S. LaSalle Street 20th Floor	Chicago	IL	60605	312-663-7500
NATIONAL COMMODITY CORP (NCC)	1700 NW 64 th St. Suite 100	Ft Lauderdale	FL	33309	888-508-7500
OPTIONSXPRESS*	311 West Monroe Street, Suite 1000	Chicago	IL	60606	312-630-3333
OPEN E CRY, LLC	3946 North Hampton Drive	Powell	OH	43065	800-920-5808
O'CONNOR & COMPANY, LLC*	W. Jackson Blvd., Suite 400	Chicago	IL	60604	312-604-8000
PEREGRINE FINANCIAL GROUP	190 South LaSalle Street, 7 th Floor	Chicago	IL	60603	312-775-3000
PIONEER FUTURES	1 North End Avenue	New York	NY	10282	212-857-6896
R.J. O'BRIEN	222 S. Riverside Plaza Suite 900	Chicago	IL	60606	312-408-4700
RAND FINANCIAL	141 W. Jackson, Suite 1950	Chicago	IL	60604	312-559-8800
RBC DAIN RAUSCHER, INC	60 S. Sixth Street	Minneapolis	MN	55402	612-371-7877
ROBBINS FUTURES	8700 West Bryn Mawr, 7 th Floor South Tower	Chicago	IL	60631	773-714-9000
ROSENTHAL COLLINS GROUP	216 W. Jackson Blvd, Suite 400	Chicago	IL	60606	312-460-9200
TRADE STATION SECURITIES (DIV. OF RJ O'BRIEN)	222 S. Riverside Plaza, Ste. 900	Chicago	IL	60606	954-652-7900
TRADE MAVEN CLEARING	141 West Jackson, Suite 1080	Chicago	IL	60604	312-521-7300
TRANSACT FUTURES	141 W. Jackson Blvd., Suite 240A	Chicago	IL	60604	312-341-9090
THINK OR SWIM*	3304 N Lincoln Ave	Chicago	IL	60657	773-435-3210
UNITED GLOBAL MARKETS, LLC	20 Park Plaza, Suite 1000	Boston	MA	02116	617-357-6100
UNIVERSAL FINANCIAL	2999 NE 191 Street Suite # 601	Aventura	FL	33180	800-749-1000
VELOCITY FUTURES	5868 Westthimer, Suite 478	Houston	TX	77057	713-490-7600
VISION FINANCIAL MARKETS, LLC	1 Whitehall Street, 15th Floor	New York	NY	10004	800-248-2646

*ALSO HAS SECURITIES DIVISION

Trust Company FOREX Trading Partners



FOREX COMPANY LISTING

FOREX CAPITAL MARKETS (FXCM)	32 Old Slip 10th Floor	New York	NY	10005	212-897-7660
PEREGRINE FINANCIAL FX	190 South LaSalle Street, 7 th Floor	Chicago	IL	60603	312-775-3000
TRADESTATIONFX	222 S. Riverside Plaza, Ste. 900	Chicago	IL	60606	954-652-7900
VELOCITY4X	141 W. JACKSON BLVD.	CHICAGO	IL	60604	800-783-6056
IFX CAPITAL MARKETS	419 Boylston Street, Suite 700	Boston	MA	02116	617-357-0682
GLOBAL FOREX TRADING	4760 East Fulton, Suite 201	Ada	MI	49301	616-956-9273
FX SOLUTIONS	127 E. Ridgewood Avenue, Suite 201	Ridgewood	NJ	7450	201-345-2210
FOREX DIRECT DEALER	75 Park Place - 4th Floor	New York	NY	10007	212-791-3939
INTERBANK FX	3165 E. Millrock Dr. #200	Salt Lake City	UT	84121	801-733-6910
GFS Forex & Futures	One Post, Suite 2550	San Francisco	CA	94104	415-321-7188
ODL Securities	125 South Wacker Dr. Suite 300	Chicago	IL	60606	888-508-7500
Gain Capital	550 Hills Drive	Bedminister	NJ	7921	877-424-6227
CAPITAL MARKET SERVICES	350 5TH AVENUE, SUITE 6400	New York	NY	10118	212-563-2100
HOTSPOT FX	545 Washington Blvd.	Jersey City	NJ	7310	201-748-3167